

# Recent results on rank based cryptography

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# Summary

- 1 Post-Quantum Cryptography
- 2 Rank codes : definitions and basic properties
- 3 Decoding in rank metric
- 4 Complexity issues : decoding random rank codes
- 5 Encryption/Key exchange
- 6 Other primitives

# Post-quantum cryptography

# General problems

## Cryptography needs different difficult problems

- factorization
- discrete log
- SVP for lattices
- syndrome decoding problem

For code-based cryptography, the security of cryptosystems is usually related to the problem of syndrome decoding for a special metric.

# PQ Crypto

Consider the simple linear system problem :

$H$  a random  $(n - k) \times n$  matrix over  $GF(q)$

**Knowing  $s \in GF(q)^{n-k}$  is it possible to recover a given  $x \in GF(q)^n$  such that  $H \cdot x^t = s$ ?**

Easy problem :

- fix  $n - k$  columns of  $H$  , one gets a  $(n - k) \times (n - k)$  submatrix  $A$  of  $H$
- $A$  invertible with good probability,  $x = A^{-1}s$ .

# How to make this problem difficult ?

(1) add a constraint to  $x$  :  $x$  of small weight for a particular metric

- metric = Hamming distance  $\Rightarrow$  **code-based cryptography**
- metric = Euclidean distance  $\Rightarrow$  **lattice-based cryptography**
- metric = Rank distance  $\Rightarrow$  **rank-based cryptography**

$\Rightarrow$  only difference : the metric considered, and its associated properties !!

(2) consider rather a multivariable non linear system : quadratic, cubic etc...

$\Rightarrow$  Multivariate cryptography

## Motivations

# General interest of post-quantum cryptography

- a priori resistant to a quantum computer
- usually faster than number-theory based cryptography
- easier to protect against side-channel attacks
- size of keys may be larger

# Lattice-based cryptography

- Knapsack '78, NTRU '96, GGH '97
- Regev '04 LWE
- difficult problem : finding short vectors in lattices
- cryptanalysis : LLL algorithm with heuristics
- FHE, better security reduction ?, reasonable size of keys



# Code-based cryptography

- McEliece '78, Stern '93, CFS '01, Alekhnovich '03, G. '05, MDPC '13
- difficult problem : syndrome decoding problem
- cryptanalysis : ISD, closed formulae
- faster than lattices ?, reasonable size of keys with cyclicity, security reduction ?

# Multivariate cryptography

- Matsumoto-Imai '88, HFE '95, SFlash '96, Rainbow '05, QUAD '06....
- difficult problem : solving a multivariable system
- cryptanalysis : Groebner basis
- many instation broken (Crypto '07), security reduction ?, unreasonable size of keys

# Rank Codes : definition and basic properties

# Rank metric codes

The rank metric is defined in finite extensions.

- $GF(q)$  a finite field with  $q$  a power of a prime.
- $GF(q^m)$  an extension of degree  $m$  of  $GF(q)$ .
- $B = (b_1, \dots, b_m)$  a basis of  $GF(q^m)$  over  $GF(q)$ .

$GF(q^m)$  can be seen as a vector space on  $GF(q)$ .

- $\mathcal{C}$  a linear code over  $GF(q^m)$  of dimension  $k$  and length  $n$ .
- $G$  a  $k \times n$  generator matrix of the code  $\mathcal{C}$ .
- $H$  a  $(n - k) \times n$  parity check matrix of  $\mathcal{C}$ ,  $G.H^t = 0$ .
- $H$  a dual matrix,  $x \in GF(q^m)^n \rightarrow$  syndrome of  $x = H.x^t \in GF(q^m)^{n-k}$

# Rank metric

Words of the code  $\mathcal{C}$  are  $n$ -uplets with coordinates in  $GF(q^m)$ .

$$v = (v_1, \dots, v_n)$$

with  $v_j \in GF(q^m)$ .

Any coordinate  $v_j = \sum_{i=1}^m v_{ij} b_i$  with  $v_{ij} \in GF(q)$ .

$$v(v_1, \dots, v_n) \rightarrow V = \begin{pmatrix} v_{11} & v_{12} & \dots & v_{1n} \\ v_{21} & v_{22} & \dots & v_{2n} \\ \dots & \dots & \dots & \dots \\ v_{m1} & v_{m2} & \dots & v_{mn} \end{pmatrix}$$

### Definition (Rank weight of word)

$v$  has rank  $r = \text{Rank}(v)$  iff the rank of  $V = (v_{ij})_{ij}$  is  $r$ .  
equivalently  $\text{Rank}(v) = r \iff v_j \in V_r \subset GF(q^m)^n$  with  $\dim(V_r) = r$ .

the determinant of  $V$  does not depend on the basis

### Definition (Rank distance)

Let  $x, y \in GF(q^m)^n$ , the rank distance between  $x$  and  $y$  is defined by  $d_R(x, y) = \text{Rank}(x - y)$ .

## Definition (Minimum distance)

Let  $C$  be a  $[n, k]$  rank code over  $GF(q^m)$ , the minimum rank distance  $d$  of  $C$  is  $d = \min\{d_R(x, y) \mid x, y \in C, x \neq y\}$ ;

## Theorem (Unique decoding)

*Let  $C[n, k, d]$  be a rank code over  $GF(q^m)$ . Let  $e$  an error vector with  $r = \text{Rank}(e) \leq \frac{d-1}{2}$ , and  $c \in C$  :  
if  $y = c + e$  then there exists a unique element  $c' \in C$  such that  $d(y, c') = r$ . Therefore  $c' = c$ .*

**proof** : same as for Hamming, distance property.

# Rank isometry

Notion of **isometry** : weight preservation

- Hamming distance :  $n \times n$  permutation matrices
- Rank distance :  $n \times n$  invertible matrices over  $GF(q)$

**proof** : multiplying a codeword  $x \in GF(q^m)^n$  by an  $n \times n$  invertible matrix **over the base field  $GF(q)$**  does not change the rank (see  $x$  as a  $m \times n$  matrix over  $GF(q)$ ).

**remark** : for any  $x \in GF(q^m)^n$  :  $Rank(x) \leq w_H(x)$  : potential linear combinations on the  $x_i$  may only decrease the rank weight.



# Support analogy

An important insight between Rank and Hamming distances  
tool : support analogy

- support of a word of  $GF(q)^n$  in Hamming metric  
 $x(x_1, x_2, \dots, x_n)$  : set of positions  $x_i \neq 0$
- support of a word of  $GF(q)^n$  in rank metric  
 $x(x_1, x_2, \dots, x_n)$  : the subspace over  $GF(q)$ ,  $E \subset GF(q^m)$   
generated by  $\{x_1, \dots, x_n\}$
- in both cases if the order of size of the support is small,  
knowing the support of  $x$  and syndrome  $s = H.x^t$  permits to  
recover the complete coordinates of  $x$ .

# Sphere packing bound

## Counting the number of possible supports for length $n$ and dimension $t$

- Hamming : number of sets with  $t$  elements in sets of  $n$  elements : Newton binomial  $\binom{n}{t}$  ( $\leq 2^n$ )
- Rank : number of subspaces of dimension  $t$  over  $GF(q)$  in the space of dimension  $n$   $GF(q^m)$  : Gaussian binomial  $\begin{bmatrix} n \\ t \end{bmatrix}_q (\sim q^{t(n-t)})$

# Sphere packing bound

## Theorem (Sphere packing bound)

Let  $C[n, k, d]$  be a rank code over  $GF(q^m)^n$ , the parameters  $n, k, d$  and  $d$  satisfy :  $q^{mk} B(n, m, q, \lfloor \frac{d-1}{2} \rfloor) \leq q^{nm}$

## Theorem (Singleton bound)

Let  $C[n, k, d]$  be a rank code over  $GF(q^m)^n$ , the parameters  $n, k$  and  $d$  satisfy :  $d \leq 1 + \lfloor \frac{(n-k)m}{n} \rfloor$

The rank **Gilbert-Varshamov (GVR) bound** for a  $C[n, k]$  rank code over  $GF(q^m)^n$  with dual matrix  $H$  corresponds to the average value of the minimum distance of a random  $[n, k]$  rank code.

**asymptotically** : in the case  $m = n$  :  $\frac{GVR(n, k, m, q)}{n} \sim 1 - \sqrt{\frac{k}{n}}$

# Decoding in rank metric

# Families of decodable codes in rank metric

There exists 3 main families of decodable codes in rank metric

- Gabidulin codes (1985) (analog of Reed-Solomon codes with rank metric and  $q$ -polynomials)
- Simple codes (2008,2017)
- LRPC codes (2013)

These codes have different properties, a lot of attention was given to rank metric and especially to subspace metric with the development of Network coding in the years 2000's.

# LRPC codes

LDPC : dual with low weight (ie : small support)

→ equivalent for rank metric : dual with small rank support

## Definition (GMRZ13)

A Low Rank Parity Check (LRPC) code of rank  $d$ , length  $n$  and dimension  $k$  over  $F_{q^m}$  is a code such that the code has for parity check matrix, a  $(n - k) \times n$  matrix  $H(h_{ij})$  such that the vector space  $F$  of  $F_{q^m}$  generated by its coefficients  $h_{ij}$  has dimension at most  $d$ . We call this dimension the weight of  $H$ .

In other terms : all coefficients  $h_{ij}$  of  $H$  belong to the same 'low' vector space  $F < F_1, F_2, \dots, F_d >$  of  $F_{q^m}$  of dimension  $d$ .

# Decoding LRPC codes

**Idea : as usual recover the support and then deduce the coordinates values.**

Let  $e = (e_1, \dots, e_n)$  be an error vector of weight  $r$ , ie :  $\forall e_i : e_i \in E$ , and  $\dim(E)=r$ . Suppose  $H \cdot e^t = s = (s_1, \dots, s_{n-k})^t$ .

$$e_i \in E \langle E_1, \dots, E_r \rangle, h_{ij} \in F \langle F_1, F_2, \dots, F_d \rangle$$

$$\Rightarrow s_k \in \langle E_1 F_1, \dots, E_r F_d \rangle$$

$\Rightarrow$  if  $n - k$  is large enough, it is possible to recover the product space  $\langle E_1 F_1, \dots, E_r F_d \rangle$

## Decoding LRPC codes

**Syndrome**  $s(s_1, \dots, s_{n-k}) : S = \langle s_1, \dots, s_{n-k} \rangle \subset \langle E_1 F_1, \dots, E_r F_d \rangle$

Suppose  $S = \langle E.F \rangle \Rightarrow$  possible to recover E.

Let  $S_i = F_i^{-1}.S$ , since

$$S = \langle E.F \rangle = \langle F_i E_1, F_i E_2, \dots, F_i E_r, \dots \rangle \Rightarrow E \subset S_i$$

$$E = S_1 \cap S_2 \cap \dots \cap S_d$$



# General decoding of LRPC codes

Let  $y = xG + e$

## 1 Syndrome space computation

Compute the syndrome vector  $H.y^t = s(s_1, \dots, s_{n-k})$  and the syndrome space  $S = \langle s_1, \dots, s_{n-k} \rangle$ .

## 2 Recovering the support $E$ of the error

$$S_i = F_i^{-1}S, E = S_1 \cap S_2 \cap \dots \cap S_d,$$

## 3 Recovering the error vector $e$

Write  $e_i (1 \leq i \leq n)$  in the error support as  $e_i = \sum_{j=1}^n e_{ij}E_j$ , solve the system  $H.e^t = s$ .

## 4 Recovering the message $x$

Recover  $x$  from the system  $xG = y - e$ .

# Decoding of LRPC

## ■ Conditions of success

- $S = \langle F.E \rangle \Rightarrow rd \leq n-k$ .
- possibility that  $\dim(S) \neq n - k \Rightarrow$  probabilistic decoding with error failure in  $q^{-(n-k-rd)}$
- if  $d = 2$  can decode up to  $(n - k)/2$  errors.

## ■ Complexity of decoding : very fast symbolic matrix inversion

$O(m(n - k)^2)$  write the system with unknowns :

$e_E = (e_{11}, \dots, e_{nr})$  :  $nr$  unknowns in  $GF(q)$ , the syndrome  $s$  is written in the symbolic basis  $\{E_1 F_1, \dots, E_r F_d\}$ ,  $H$  is written in  $h_{ij} = \sum h_{ijk} F_k$ ,  $\rightarrow nr \times m(n - k)$  matrix in  $GF(q)$ , can do precomputation.

## ■ Decoding Complexity $O(m(n - k)^2)$ op. in $GF(q)$

## ■ Comparison with Gabidulin codes : probabilistic, decoding failure but as fast

# Recent improvement for decoding LRPC codes

Aragon, G., Hauteville, Ruatta, Zémor '18

Remark that if  $\text{dimension}(S) = rd - c$  then

for  $c \leq r$

$$\text{dimension}(S_i \cap E) \geq r - c$$

→ possibility to recover elements of  $\text{Support}(E)$  even if  $\text{dim}(S) < rd$

→ permits a better decoding  $\frac{(n-k)}{2} \rightarrow \frac{2(n-k)}{3}$

or smaller failure decoding probability

$$q^{-(n-k-rd+1)} \rightarrow q^{-(n-k-2(r+d)+5)}$$

# Complexity issues : decoding random rankcodes

# Rank syndrome decoding

For cryptography we are interested in difficult problems, in the case of rank metric the problem is :

## Definition (Rank Syndrome Decoding problem (RSD))

*Instance* : a  $(n - k) \times n$  matrix  $H$  over  $GF(q^m)$ , a syndrome  $s$  in  $GF(q^m)^{n-k}$  and an integer  $w$

*Question* : does there exist  $x \in GF(q^m)^n$  such that  $H \cdot x^t = s$  and  $w_R(x) \leq w$  ?

## Definition (Syndrome Decoding problem (SD))

*Instance* : an  $r \times n$  matrix  $H = [h_1, h_2, \dots, h_n]$  over a field  $GF(q)$ , a column vector  $s \in GF(q)^r$ , an integer  $w$

*Question* : does there exist  $x = (x_1, \dots, x_n) \in GF(q)^n$  of Hamming weight at most  $w$  such that  $H^t x = \sum_{i=1}^n x_i h_i = s$  ?

Problem SD proven NP-complete by Berlekamp et al. in 1978.

Computational complexity of RSD : solved in 2014 (G.,Zemor 2014)

### Definition (embedding strategy)

Let  $m \geq n$  and  $Q = q^m$ . Let  $\alpha = (\alpha_1, \dots, \alpha_n)$  be an  $n$ -tuple of elements of  $GF(Q)$ . Define the embedding of  $GF(q)^n$  into  $GF(Q)^n$

$$\begin{aligned} \psi_\alpha : \quad GF(q)^n &\rightarrow GF(Q)^n \\ \mathbf{x} = (x_1, \dots, x_n) &\mapsto \mathbf{x} = (x_1\alpha_1, \dots, x_n\alpha_n) \end{aligned}$$

and for any  $GF(q)$ -linear code  $C$  in  $GF(q)^n$ , define  $\mathcal{C} = \mathcal{C}(C, \alpha)$  as the  $GF(Q)$ -linear code generated by  $\psi_\alpha(C)$ , i.e. the set of  $GF(Q)$ -linear combinations of elements of  $\psi_\alpha(C)$ .

# A randomized reduction

General idea of the embedding :

$$(1, 0, 0, 1, 0, 1) \rightarrow (\alpha_1, 0, 0, \alpha_4, 0, \alpha_6)$$

## Theorem

*Let  $C$  be a random code over  $GF(q)$  and  $\alpha$  random, then for convenient  $m$ , with a very strong probability :*

$$d_H(C) = d_R(C)$$

## Theorem

*If there exists a polynomial time algorithms which solves RSD then*

# Best known attacks

There are two types of attacks on the RSD problem :

- Combinatorial attacks
- Algebraic attacks

Depending on type of parameters, the efficiency varies a lot.



# Combinatorial attacks

- first attack Chabaud-Stern '96 : basis enumeration
- improvements A.Ourivski and T.Johannson '02
  - Basis enumeration :  $\leq (k+r)^3 q^{(r-1)(m-r)+2}$  (amelioration on polynomial part of Chabaud-Stern '96)
  - Coordinates enumeration :  $\leq (k+r)^3 r^3 q^{(r-1)(k+1)}$
- improvement : G. et al. '16
  - Support attack :  $\mathcal{O}(q^{(r-1)\lfloor \frac{(k+1)m}{n} \rfloor})$
  - improvement Aragon, G., Hauteville, Tillich ISIT '18 (GRS+) :  $\mathcal{O}((nm)^3 q^{r\lceil \frac{km}{n} - m \rceil})$
  - Quantum Speed Up : Grover's algorithm directly applies to GRS+  $\implies$  exponent divided by 2.

# Basis enumeration Hamming/Rank attacks

- **Attack in rank metric to recover the support** - a naive approach would consist in trying ALL possible supports : all set of coordinates of weight  $w$

⇒ Of course one never does that !!!

- **Attack in rank metric to recover the support**

The analog of this attack in rank metric : try all possible supports, ie all vector space of dimension  $r$  :  $q^{(m-r) \cdot r}$  such basis, then solve a system.

⇒ it is the Chabaud-Stern ('96) attack - improved by OJ '02

**By analogy with the Hamming : it is clearly not optimal In particular the exponent complexity does not depend on  $n$**

# Improvement : ISD for rank metric

- Information Set Decoding for Hamming distance (simple original approach) :  $H.x^t = s$ 
  - syndrome size :  $n - k \rightarrow n - k$  equations
  - take  $n - k$  random columns, if they contain the error support , one can solve a system
- Analog for rank metric :
  - syndrome size :  $n - k \rightarrow (n - k)m$  equations in  $\mathbb{F}_q$
  - consider a random space  $E'$  of  $F_q^m$  of dimension  $r'$  which contain  $E$ 
    - $\rightarrow$  one can solve if  $nr' \geq (n - k)m$
    - $\rightarrow$  as for ISD for Hamming metric : improve the complexity since easier to find.

# Support attack

## Detail :

Increasing of searched support :  $r' \geq r$  avec  $r'n \leq m(n - k)$ .

$$e' = \beta U$$

with  $\beta$  a basis of rank  $r'$  and  $U$  a  $r' \times n$  matrix. Operations :

- More support to test :  $q^{(r-1)(m-r)} \rightarrow q^{(r'-1)(m-r')}$

- Better probability to find :  $\frac{1}{q^{(r-1)(m-r)}} \rightarrow \frac{q^{(r'-m)}}{q^{(r-1)(m-r)}}$

Complexity :

$$\min\left(O\left((n - k)^3 m^3 q^{r \frac{\lfloor km \rfloor}{n}}\right), O\left((n - k)^3 m^3 q^{(r-1) \frac{\lfloor (k+1)m \rfloor}{n}}\right)\right)$$

# Support attack

## Conclusion on the first attack

- Improvement on previous attacks based on  $HU^t\beta^t = Hy^t$ .
- exponential complexity in the general case
- Complexité :

$$\min(O((n-k)^3 m^3 q^{r \lfloor \frac{km}{n} \rfloor}), O((n-k)^3 m^3 q^{(r-1) \lfloor \frac{(k+1)m}{n} \rfloor}))$$

## Comparison with previous complexities :

- basis enumeration :  $\leq (k+r)^3 q^{(r-1)(m-r)+2}$
- coordinates enumeration :  $\leq (k+r)^3 r^3 q^{(r-1)(k+1)}$

**Remark** : when  $n = m$  same exponential complexity that OJ '02

# Algebraic attacks for rank metric

**General idea** : translate the problem in equations then try to resolve with grobner basis

**Main difficulty** : translate in equations the fact that coordinates belong to a same subspace of dimension  $r$  in  $GF(q^m)$  ?

- Levy-Perret '06 : Taking error support as unknown  $\rightarrow$  quadratic setting
- Kipnis-Shamir '99 ( FLP '08) and others..) : Kernel attack,  $(r + 1) \times (r + 1)$  minors  $\rightarrow$  degree  $r + 1$
- G. et al. '16 : annihilator polynomial  $\rightarrow$  degree  $q^r$

# Attack with $q$ -polynomials

## Definition ( $q$ -polynomials)

A  $q$ -polynomial is a polynomial of the form  $P(x) = \sum_{i=0}^r p_i x^{q^i}$  with  $p_r \neq 0$  et  $p_i \in \mathbb{F}_{q^m}$ .

- Linearity :  $P(\alpha x + \beta y) = \alpha P(x) + \beta P(y)$  with  $x, y \in \mathbb{F}_{q^m}$  and  $\alpha, \beta \in \mathbb{F}_q$ .
- $\forall B$  basis of  $r$  vectors of  $\mathbb{F}_{q^m}$ ,  $\exists ! P$  unitary  $q$ -polynomial such that  $\forall b \in B, P(b) = 0$  (Ore '33).

One can then define a subspace of dimension  $r$  with a polynomial of  $q$ -degree  $r$ .

# Attack with $q$ -polynomial

Reformulation :

$$c + e = y$$

with  $c$  a word of  $\mathcal{C}$ ,  $e$  a word of weight  $r$  and  $y$  known.  
There exists a polynomial  $P$  of  $q$ -degree  $r$  such that

$$P(c - y) = 0$$

moreover there exists  $x$  such that  $c = xG$ , which gives :

$$\left( \sum_{i=0}^r p_i (xG_1 - y_1)^{q^i}, \dots, \sum_{i=0}^r p_i (xG_n - y_n)^{q^i} \right)$$

with  $x \in \mathbb{F}_{q^m}^k$ ,  $G_j$  the  $j$ -ith column of  $G$  and  $y \in \mathbb{F}_{q^m}^n$  known.



# Attack with $q$ -polynomials

**Advantages** : less unknowns, sparse equations

**Disadvantages** : higher degree equations  $q^r + 1$

Three methods to solve :

- Linearization
- Grobner basis
- Hybrid approach : partial enumeration of unknowns

## Conclusion on attacks

- Combinatorial : quadratic in the exponent, usually the best ones but depend on  $q$
- Algebraic : very high when  $r$  increases but do not depend on  $q$

## Algebraic attacks

→ best attacks : **exponential with quadratic complexity in the exponent**. Comparison of this problem with other problems for a  $2^n$  complexity with best known attacks :

problem	size of key	NP-hard problem red.
factorization	$\Omega(n^3)$	no
discrete log (large car.)	$\Omega(n^3)$	no
ECDL	$\Omega(n)$	no
SVP ideal lattices	$\Omega(n)$	no
SD cyclic-codes	$\Omega(n)$	no
SD	$\Omega(n^2)$	yes
SVP	$\Omega(n^2)$	yes
RSD	$\Omega(n^{1.5})$	yes

# ENCRYPTION/Key Exchange IN RANK METRIC

- Gabidulin *et al.* '91 : first encryption scheme based on rank metric
- adaptation of McEliece scheme, many variations :

- Parameters

- $B \{b_1, \dots, b_m\}$  a basis of  $GF(q^m)$  over  $GF(q)$

- Private key

- $G$  generates a Gabidulin code  $Gab(m, k), r = \frac{m-k}{2}$
- $S$  a random  $k \times t_1$  matrix in  $GF(q^m)$
- $P$  a random matrix in  $GL_{m+t_1}(GF(q))$
- $S$  a random invertible  $k \times k$  matrix in  $GF(q^m)$

- Public key

$$G_{pub} = S(G|Z)P$$

## The GPT cryptosystem and its variations

## ■ Encryption

$$y = xG_{pub} + e, \text{ Rank}(e) \leq r$$

## ■ Decryption

- Compute  $yP^{-1} = x(G|Z) + eP^{-1}$
- Puncture the last  $t_1$  columns and decode

Other variations :  $G$  Gabidulin matrix,  $H$  : dual matrix

Masking	public matrix	authors
Scrambling matrix	$SG + X$	GPT '91
Right scrambling	$S(G Z)P$	Gabi. Ouriv. '01
Subcodes	$\begin{pmatrix} H \\ A \end{pmatrix}$	Ber. Loi. '02
Rank Reducible	$\begin{pmatrix} G_1 & 0 \\ A & G_2 \end{pmatrix}$	[OGHA03],[BL04]
Gabidulin-LRPC	$G.H(LRPC)$	Loidreau '17

# Overbeck's structural attack

## Overbeck's attack '06

- general idea : if one consider  $G = Gab[n, k]$  and one applies the Frobenius :  $x \rightarrow x^q$  to each coordinate of  $G$  then  $G^q$  and  $G$  have  $k - 1$  rows in common !
- starting from  $G_{pub} = S(G|Z)P$ , one can prove there is a rank defect in :

$$\begin{pmatrix} G_{pub} \\ \vdots \\ G_{pub}^{q^{n-k-1}} \end{pmatrix}$$

*the matrix is a*

$k(n-k) \times (n + t_1)$  matrix, first  $n$  columns part : **rank  $n - 1$  and not  $n$ !**

- Overbeck uses this point to break parameters of all presented GPT-like systems at that time (generalization G., Otmani, Tale DCC '18)



# The NTRU-like family

## ■ NTRU

- double circulant matrix  $(A|B) \rightarrow (I|H)$
- A and B : cyclic with 0 and 1, over  $Z/qZ$  (small weight) ( $q=256$ ),  $N \sim 300$

## ■ MDPC

- double circulant matrix  $(A|B) \rightarrow (I|H)$
- A and B : cyclic with 0 and 1, 45 1, (small weight)  $N \sim 4500$

## ■ LRPC

- double circulant matrix  $(A|B) \rightarrow (I|H)$
- A and B : cyclic with small weight (small rank)

# LRPC codes for cryptography

- We saw that LRPC codes with  $H [n-k,n]$  over  $F$  of rank  $d$  could decode error of rank  $r$  with probability  $q^{n-k-rd+1}$  :

- McEliece setting :

**Public key** :  $G$  LRPC code :  $[n, k]$  of weight  $d$  which can decode up to errors of weight  $r$

**Public key** :  $G' = MG$

**Secret key** :  $M$

- **Encryption**

$c = mG' + e$ ,  $e$  of rank  $r$

- **Decryption**

Decode  $H.c^t$  in  $e$ , then recover  $m$ .

- Smaller size of key : double circulant LRPC codes :  $H = (I \ A)$ ,  $A$  circulant matrix

# Application to cryptography

- **Attacks on the system**

- message attack : decode a word of weight  $r$  for a  $[n, k]$  random code

- structural attack : recover the LRPC structure

- a  $[n, n - k]$  LRPC matrix of weight  $d$  contains a word with  $\frac{n}{d}$  first zero positions. Searching for a word of weight  $d$  in a  $[n - \frac{n}{d}, n - k - \frac{n}{d}]$  code.

- **Attack on the double circulant structure**

Hauteville-Tillich ISIT 2015, same attack than for lattices and codes (Gentry attack), can be avoided by considering an irreducible polynomial for the ideal structure.

# Examples of parameters : LAKE

All the times are given in **ms**, performed on an Intel Core i7-4700HQ CPU running at 3.40GHz.

Security	Message/key Size (bits)	KeyGen Time	Encap Time	Decap Time	Probability of failure
128	3,149	0.65	0.13	0.53	$< 2^{-30}$
192	4,717	0.73	0.13	0.88	$< 2^{-32}$
256	6,313	0.77	0.15	1.24	$< 2^{-36}$

# Examples of parameters : LOCKER

All the times are given in **ms**, performed on an Intel Core i7-4700HQ CPU running at 3.40GHz.

Security	PK Size (bits)	CT Size (bits)	Encrypt Time	Decrypt Time	Probability of failure
128	5,893	6,405	0.22	1.04	$< 2^{-64}$
192	8,383	8,895	0.23	1.08	$< 2^{-64}$
256	9,523	10,023	0.25	1.58	$< 2^{-64}$
128	12,367	12,879	0.56	1.99	$< 2^{-128}$
192	15,049	15,561	0.56	2.03	$< 2^{-128}$
256	17,113	17,625	0.62	2.76	$< 2^{-128}$

# Conclusion for LRPC

- LRPC : new family of rank codes with an efficient probabilistic decoding algorithm
- Application to cryptography in the spirit of NTRU and MDPC (decryption failure, more controlled)
- Very small size of keys, comparable to RSA
- More studies need to be done but very good potentiality
- Security based on recovering small weight random vectors, NOT BASED on decoding random (QC) codes

## RQC

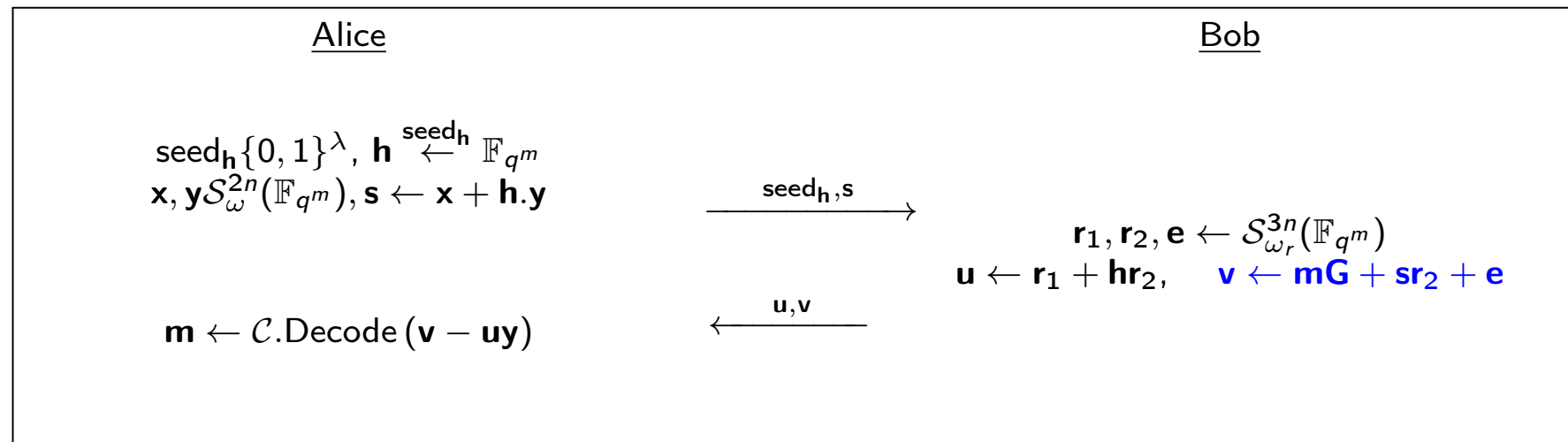
## RQC PKE scheme

RQC scheme Aguilar, Blazy, Deneuville, G., Zemor IEEE IT '18 (first described in 2010) in the spirit of Alekhnovich '03

Vectors  $\mathbf{x}$  of  $\mathbb{F}_{q^m}^n$  seen as elements of  $\mathbb{F}_{q^m}[X]/(P)$  for some polynomial  $P$ .

$$\mathcal{S}_w^n(\mathbb{F}_{q^m}) = \left\{ \mathbf{x} \in \mathbb{F}_{q^m}^n \text{ such that } \omega(\mathbf{x}) = w \right\}$$

- Public Data :  $\mathbf{G}$  is a generator matrix of some public code  $\mathcal{C}$
- Secret key  $\mathbf{sk} = (\mathbf{x}, \mathbf{y})$ , Public key :  $\mathbf{pk} = (\mathbf{h}, \mathbf{s} = \mathbf{x} + \mathbf{h} \cdot \mathbf{y})$



## Why does it work ?

$$\begin{aligned}v - uy &= mG + (x + hy)r_2 + e - (r_1 + hr_2)y \\ &= mG + xr_2 - yr_1 + e.\end{aligned}$$

Decrypts whenever the public code  $\mathcal{C}$  decodes the small rank weight error  $xr_2 - yr_1 + e$  for  $(x, y)$  and  $(r_1, r_2, e)$  small rank weight vectors.

Choice for  $\mathcal{C}$  : Gabidulin codes and hence NO decryption failure.



# Semantic Security

## Theorem

*Under the assumption of the hardness of the  $[2n, n]$ -Decisional-QCRSD and  $[3n, n]$ -DQCRSD problems, RQC is IND-CPA in the Random Oracle Model.*

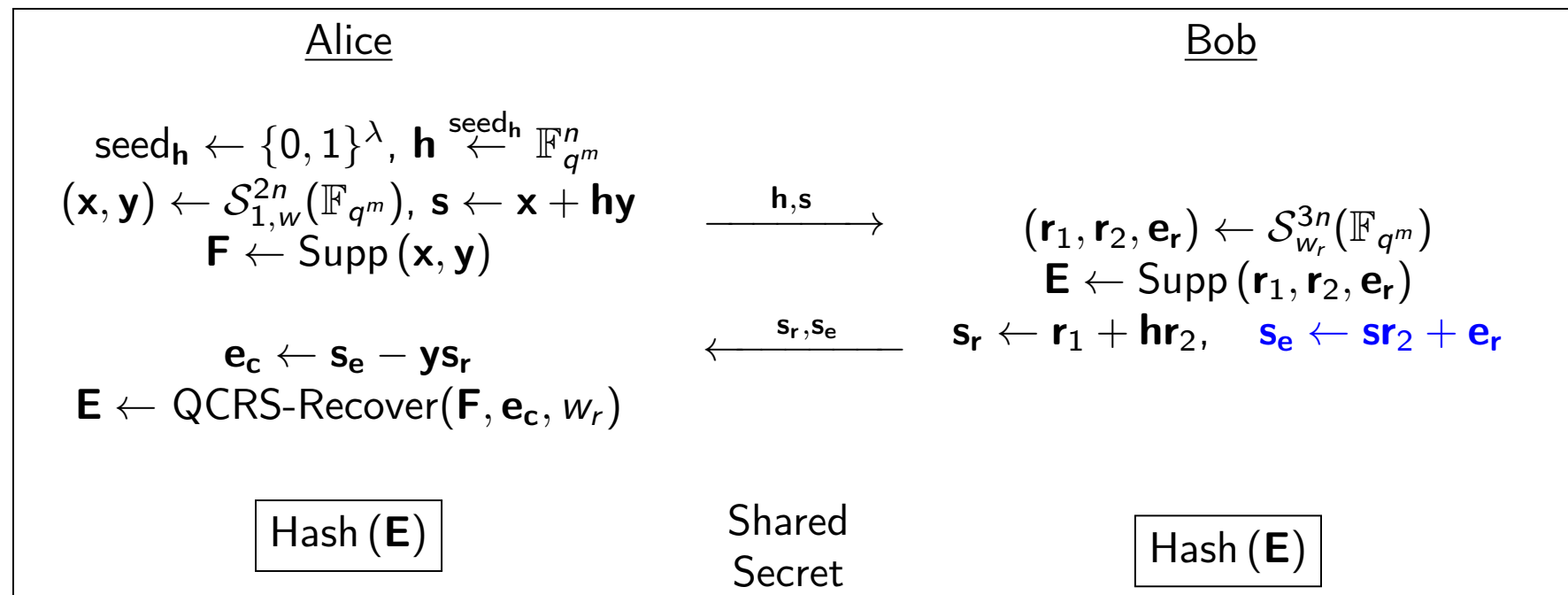
- ● Applying HHK's transform to RQC PKE  $\rightarrow$  IND-CCA2 RQC KEM
- ● IND-CCA2 RQC KEM  $\rightarrow$  IND-CCA2 RQC Hybrid Encryption.

## OUROBOROS-R

## OUROBOROS-R scheme

Deneuville, G., Zemor PQCrypto '17

Vectors  $x$  of  $\mathbb{F}_{q^m}^n$  seen as elements of  $\mathbb{F}_{q^m}[X]/(P)$  for some polynomial  $P$ .



**Figure 1** – Informal description of OUROBOROS-R.  $\mathbf{h}$  and  $\mathbf{s}$  constitute the public key.  $\mathbf{h}$  can be recovered by publishing only the  $\lambda$  bits of the seed (instead of the  $n$  coordinates of  $\mathbf{h}$ ).

## Why does it work ?

$$\begin{aligned} \mathbf{e}_c &= \mathbf{s}_e - \mathbf{y}\mathbf{s}_r = \mathbf{s}\mathbf{r}_2 + \mathbf{e}_r - \mathbf{y}(\mathbf{r}_1 + \mathbf{h}\mathbf{r}_2) \\ &= (\mathbf{x} + \mathbf{h}\mathbf{y})\mathbf{r}_2 + \mathbf{e}_r - \mathbf{y}(\mathbf{r}_1 + \mathbf{h}\mathbf{r}_2) = \mathbf{x}\mathbf{r}_2 - \mathbf{y}\mathbf{r}_1 + \mathbf{e}_r \end{aligned}$$

$1 \in \mathbf{F}$ , coordinates of  $\mathbf{e}_c$  generate a subspace of  $\text{Supp}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{e}_r) \times \text{Supp}(\mathbf{x}, \mathbf{y})$  on which one can apply the QCRS-Recover algorithm to recover  $E$  (LRPC decoder).

In other words :  $\mathbf{e}_c$  seen as syndrome associated to an LRPC code based on the secret key  $(\mathbf{x}, \mathbf{y})$

→ a reasonable decoding algorithm is used to decode a SMALL weight error !

# Semantic Security

## Theorem

*Under the assumption of the hardness of the  $[2n, n]$ -Decisional-QCRSD and  $[3n, n]$ -Decisional-QCRSD problems, OUROBOROS-R is IND-CPA in the Random Oracle Model.*

	<b>NTRU-like family</b> <ul style="list-style-type: none"> <li>• McEliece setting / Code generated by small weight vectors</li> <li>• No reconciliation / Polynomial inversion</li> </ul>		<b>Ouroboros family</b> <ul style="list-style-type: none"> <li>• Reconciliation</li> <li>• No hidden structure</li> <li>• No polynomial inversion</li> <li>• Small decoded error</li> </ul>	<b>RLWE-like family</b> <ul style="list-style-type: none"> <li>• Reconciliation</li> <li>• No hidden structure</li> <li>• No polynomial inversion</li> <li>• Larger decoded error</li> </ul>
Security reduction	<ul style="list-style-type: none"> <li>• Indistinguishability of small weight vectors generated <math>[2n,n]</math> code</li> </ul>		<ul style="list-style-type: none"> <li>• Decisional SD <math>[2n,n]</math> or SD <math>[3n,n]</math> for (ideal/QC) random codes</li> </ul>	<ul style="list-style-type: none"> <li>• Decisional SD <math>[2n,n]</math> or SD <math>[3n,n]</math> for (ideal/QC) random codes</li> </ul>
Error form	$(e_1, e_2)$	$(e)$	$(e_1, e_2, e_3)$	$(e_1, e_2, e_3)$
Decoded word	$x_1 e_2 + x_2 e_1$	$x_1 m + p e x_2$	$e_3 + x_1 e_2 + x_2 e_1$	$mG + e_1 x_2 + e_2 x_1 + e_3$
Decoding algorithm	Bit-flipping like based on $(x_1, x_2)$	Generic	Noisy bit-flipping like based on $(x_1, x_2)$	Generic
Euclidean	GuoJohansson '16	NTRU '95 ( $N_\infty$ )	Ouroboros-E '18	RLWE '10 ( $N_\infty$ )
Rank	LRPC '13 (LAKE-LOCKER)		Ouroboros-R '17	RQC '16 (Gabidulin)
Hamming	MDPC '13 (BIKE-2)		Ouroboros '17 (BIKE-3)	HQC '10 - '16 (BCH $\otimes$ repetition code)

Semantic security			Ciphertext size			Keygen computation cost		
NTRUlike	OURlike	RLWElike	NTRUlike	OURlike	RLWElike	NTRUlike	OURlike	RLWElike
			$n$	$n + recon$	$n + recon$			

# Authentication

# Chen's protocol

In '95 K. Chen proposed a rank metric authentication scheme, in the spirit of the Stern SD protocol for Hamming distance and Shamir's PKP protocol.

Unfortunately the ZK proof is false.... a good toy example to understand some subtleties of rank metric. [G. *et al.* (2011)]

- 1 [Commitment step] The prover  $\mathcal{P}$  chooses  $x \in V_n$ ,  $P \in GL_n(\text{GF}(q))$  and  $Q \in GL_m(q)$ . He sends  $c_1, c_2, c_3$  such that :

$$c_1 = \text{hash}(Q|P|Hx^t), c_2 = \text{hash}(Q * xP), c_3 = \text{hash}(Q * (x + s)P)$$

- 2 [Challenge step] The verifier  $\mathcal{V}$  sends  $b \in \{0, 1, 2\}$  to  $P$ .

- 3 [Answer step] there are three possibilities :

- if  $b = 0$ ,  $\mathcal{P}$  reveals  $x$  and  $(Q|P)$
- if  $b = 1$ ,  $\mathcal{P}$  reveals  $x + s$  and  $(Q|P)$
- if  $b = 2$ ,  $\mathcal{P}$  reveals  $Q * xP$  and  $Q * sP$

- 4 [Verification step] there are three possibilities :

- if  $b = 0$ ,  $\mathcal{V}$  checks  $c_1$  and  $c_2$ .
- if  $b = 1$ ,  $\mathcal{V}$  checks  $c_1$  and  $c_3$ .
- if  $b = 2$ ,  $\mathcal{V}$  checks  $c_2$  and  $c_3$  and that  $\text{rank}(Q * sP) = r$ .



# Signature with rank metric

# Different approaches for signature

- Signatures by inversion
  - unique inversion : RSA, CFS
  - several inversions : NTRUSign, GGH, GPV
- Signature by proof of knowledge
  - by construction : Schnorr, DSA, Lyubashevski (lattices 2012)
  - generic : Fiat-Shamir paradigm
- one-time signatures : KKS '97, Lyubashevski '07

## LRPC with erasure

**Input**  $T = \langle T_1, \dots, T_t \rangle$ ,  $H$  a matrix of LRPC, a syndrome  $s = H.e^t$ , with support  $E$  and  $\dim(E) = t + \frac{n-k}{d}$  and  $T \subset E$

**Result** : the error vector  $e$ .

### 1 Syndrome computations

- Compute  $B = \{F_1 T_1, \dots, F_d T_t\}$  of the product space  $\langle F.T \rangle$ .
- Compute the subspace  $S = \langle B \cup \{s_1, \dots, s_{n-k}\} \rangle$ .

### 2 Recovering the support $E$ of the error

Define  $S_i = F_i^{-1} S$ , compute  $E = S_1 \cap S_2 \cap \dots \cap S_d$ , and compute a basis  $\{E_1, E_2, \dots, E_r\}$  of  $E$ .

### 3 Recovering the error vector $e$

Write  $e = \sum_{i=1}^r e_i \cdot E_i$  and solve a linear system

### Corollary (Density of decodable syndromes )

*The density of unique support decodable syndromes of rank weight  $r = t + r'$  for a fixed random partial support  $T$  of dimension  $t$  is :*

$$\frac{\prod_{i=0}^{r'-1} \left( \frac{q^{m-t-i}-1}{q^{i+1}-1} \right) \cdot \min(q^{nr}, q^{rd(n-k)})}{q^{(n-k)m}} .$$

→ very strong constraints on LRPC parameters to obtain a density close to 1.

# RankSign<sup>+</sup> signature algorithm

- 1 **Secret key** :  $H$  :LRPC,  $r' = t + \frac{n-k}{2}$  errors,  $R$  random in  $GF(q^m)$ , invertible in  $GF(q^m)$ ,  $P$  invertible in  $GF(q)$ .
- 2 **Public key** : the matrix  $H' = A(R|H)P$ , a small integer value  $l$ .
- 3 **Signature of a message  $M$**  :
  - a) *initialization* :  $seed \leftarrow \{0, 1\}^l$ , pick  $(e_1, \dots, e_t) \in GF(q^m)^t$
  - b) *syndrome* :  $s \leftarrow hash(M || seed) \in GF(q^m)^{n-k}$
  - c) decode by  $H$ ,  $s' = A^{-1} \cdot s^T - R \cdot (e_1, \dots, e_t)^T$  with  $T = \langle e_1, \dots, e_t \rangle$  and  $r'$  errors
  - d) if the decoding works  $\rightarrow (e_{t+1}, \dots, e_{n+t})$  of weight  $r = t + r'$ , signature =  $((e_1, \dots, e_{n+t}) \cdot (P^T)^{-1}, seed)$ , else return to a).
- 4 **Verification** : Verify that  $Rank(e) = r = t + r'$  and  $H' \cdot e^T = s = hash(M || seed)$ .

# Structural attacks

- Overbeck attack : irrelevant
- Attack on the dual matrix :  $r = t + d$
- Attack on isometry matrix  $P$  : recover some positions of  $P$
- Recent attack by Debris-Tillich '18, based on the necessary conditions for inversion  $\rightarrow$  breaks the masking ( $R||LRPC$ ) , possibility to repair

# IBE with rank metric

# Description of the cryptosystem

G., Hauteville, Phan, Tillich CRYPTO '17

A PKE consists in three algorithms :

RankPKE.KeyGen( $1^\lambda$ ) :

$$\begin{pmatrix} \mathbf{s} \end{pmatrix} \xleftarrow{\$} \mathbb{F}_{q^m}^{n-k}.$$

$$\begin{pmatrix} \mathbf{A} \end{pmatrix} \xleftarrow{\$} \mathbb{F}_{q^m}^{(n-k) \times n}.$$

$$\begin{pmatrix} \mathbf{e} \end{pmatrix} \xleftarrow{\$} \mathbb{F}_{q^m}^n \text{ of weight } r.$$

$$\mathbf{p} = \mathbf{s}\mathbf{A} + \mathbf{e}.$$

$\mathcal{C}_{pub}$  a public code of generator matrix  $\mathbf{G}$  which can decode up to  $wr$  errors.

public key =  $(\mathbf{A}, \mathbf{G}, \mathbf{p})$ . secret key =  $\mathbf{s}$ .



RankPKE.Enc( $m, \mathbf{A}, \mathbf{G}, p$ ) :

$$\left( \begin{array}{c} \mathbf{A} \\ \hline p \end{array} \right) \mathbf{U} + \left( \begin{array}{c} 0 \\ \hline m\mathbf{G} \end{array} \right) = \left( \begin{array}{c} \mathbf{C} \\ \hline \mathbf{x} \end{array} \right)$$

where  $\mathbf{U}$  is an  $(n - k + 1) \times n'$  homogeneous matrix of weight  $w$ .

# Description of the cryptosystem

RankPKE.Dec( $\mathbf{C}, \mathbf{x}, \mathbf{s}$ ) :

$$\text{compute } \text{lll} \left( \begin{array}{c} \mathbf{s} \\ \mathbf{C} \\ \hline \mathbf{x} \end{array} \right) = \mathbf{sC} - \mathbf{x} = \mathbf{sAU} - \mathbf{pU} - \mathbf{mG}$$

$$= \mathbf{sAU} - (\mathbf{sA} + \mathbf{e})\mathbf{U} - \mathbf{mG}$$

$= -\mathbf{eU} - \mathbf{mG}$   $\mathbf{U}$  is homogeneous of weight  $w$  and

$$|\mathbf{e}|_r = r \Rightarrow |\mathbf{eU}|_r \leq wr.$$

→ compute  $\mathbf{m}$  with the decoder of  $\mathcal{C}_{pub}$ .

# Security of RankPKE

A new problem, Rank Support Learning :

Let  $\mathbf{A}$  be a random full-rank matrix of  $\mathbb{F}_{q^m}^{(n-k) \times n}$  and  $V$  a subspace of  $\mathbb{F}_{q^m}$  of dimension  $w$ .

$$\begin{pmatrix} \mathbf{A} \end{pmatrix} \begin{pmatrix} \mathbf{U} \xleftarrow{\$} V^{n \times n'} \end{pmatrix} = \begin{pmatrix} \mathbf{c}_1 & \dots & \mathbf{c}_{n'} \end{pmatrix}$$

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## Theorem

*Under the assumption that DRSL is hard, the scheme RankPKE is IND-CPA*

## idea of the IBE :

- use the RankSign algorithm to decode a random vector  $p$  in a relatively small weight vector  $p = sA + e$ ,
- with RankPKE possibility to decrypt from the knowledge of a small preimage of random vector, the couple  $(s,e)$  is used as decryption key from a public key  $p$  (random).
- similar to the GPV approach, based on the difficulty of the RSL problem
- recent attack from Debris-Tillich '18 restrains the possible parameters.

# Limitations of rank metric

There are two main limitations for rank metric :

- Ceiling limitation : the ratio Singleton/GV is always less than 2!  
→ limits the possibility to find a collision resistant hash function
- if  $x_1, \dots, x_t$  are small weight vectors then  $\sum a_i x_i$  for  $a_i \in GF(q)$  does not hide the  $x_i$  if their associated syndrome is known  
→ makes Lyubashevski-like signature difficult to obtain at first sight.

# GENERAL CONCLUSION



- Rank distance is interesting since small parameters → strong resistance
- until recently only one family of decodable codes
- LRPC codes -weak structure-, similar to NTRU or MDPC offer many advantages
- Very efficient solutions for encryption very competitive with tight reduction to decoding RANDOM (QC-ideal) codes.

# Open problems

- deterministic reduction to SD rather than only probabilistic ?
- Is it possible to have worst case - average case reduction ?
- Attacks improvements on rank ISD ?
- Better algebraic settings ?
- Optimized implementations ?
- Efficient signature ?
- Security for the ideal case ?
- search to decision reduction (Goldreich-Levin for large field, also for the LRE problem) ?
- advanced encryption ( functional encryption, witness encryption, FHE etc...)

THANK YOU