

HOMOMORPHIC ENCRYPTION AND LATTICE BASED CRYPTOGRAPHY

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- 2 Homomorphic encryption
- 3 LWE
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- 5 Lattices
- 6 Bibliography
- 7 Conclusion

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Cryptography in daily life

- 1 Cell phone conversations
- 2 Emails
- 3 Shopping online
- 4 Online banking
- 5 Aircraft Communications
- 6 Satellite communications
- 7 Government communications
- 8 Medical records
- 9 Cloud storage (Dropbox, Microsoft One Drive, Google Drive,...)

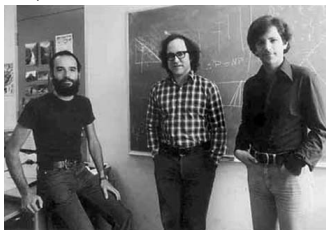


TAKE ACTION NOW
Oppose NSA Mass Spying!

RSA

RSA

- Invented in 1978 by Rivest, Shamir and Adleman.



- Hard Problem : IFP

Integer Factorization Problem:

Let $N = pq$ be the product of two large prime numbers p and q . The integer factorization problem is to find p and q .

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Diffie-Hellman and El Gamal

- Diffie-Hellman: invented in 1976 by W. Diffie and M. Hellman.
- El Gamal: invented in 1985 by T. El Gamal.

Whitfield Diffie



Martin Hellman



- Hard Problem: DLP

Discrete Logarithm Problem:

Let g and b be two positive integers and p be prime number. Find x such that $g^x \equiv b \pmod{p}$.

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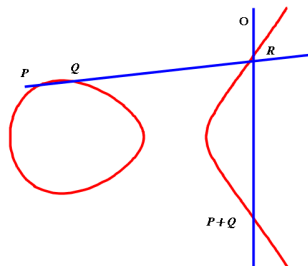
Discrete Logarithm Problem:

Let g and b be two positive integers and p be prime number. Find x such that $g^x \equiv b \pmod{p}$.

ECC

ECC

- ECC (Elliptic Curve Cryptography), invented in 1985 (independently) by Koblitz and Miller.



- Hard Problem: ECLDP

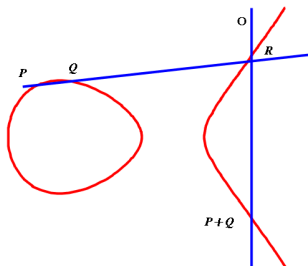
Elliptic Curve Discrete Logarithm Problem :

Let P and Q be two points on an elliptic curve E . Find n such that $nP = Q$.

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Desirable cryptographic properties

For the cloud

- Store encrypted data on the cloud.
- Allow the cloud to process on the encrypted data
- Perform computations or search on data without decrypting it.
- Decrypt the result to get the same answer as performing an analogous operation on the original data.



Desirable cryptographic properties

Example

- Store the emails on the cloud.
- Encrypt an inquiry and perform it on the cloud without decrypting it.
- Decrypt the result to get the same answer as performing an analogous operation on the original data.

The simplified scheme

- Encrypt a data x as $Enc(x)$ and store it on the cloud.
- Encrypt a function f as $Enc(f)$ and send it to the cloud.
- Ask the cloud to perform $Enc(f)[Enc(x)]=Enc(f(x))$.
- Download $Enc(f(x))$ and decrypt it to get $f(x)$.

Desirable cryptographic properties

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- Download $\text{Enc}(f(x))$ and decrypt it to get $f(x)$.

Homomorphic systems

The concept of homomorphic encryption

- It allows certain types of operations to be carried out on the encrypted data without the need to decrypt them.
- Proposed by Rivest, Adleman, and Dertouzos in 1978.
- Many schemes are partially homomorphic.
- In 2009, Gentry presented the first fully homomorphic encryption scheme: **totally impracticable**.



Homomorphic systems

Homomorphism

If $(G_1, *)$ and (G_2, \otimes) are two groups, then a function $f : G_1 \rightarrow G_2$ is a group homomorphism if

$$f(x * y) = f(x) \otimes f(y)$$

for all $x, y \in G_1$

Examples: $f(x) = e^x$, $f(x) = \log(x), \dots$

Partially homomorphic encryption

- Additively homomorphic: $\text{Enc}(x) + \text{Enc}(y) = \text{Enc}(x + y)$.
- Multiplicatively: $\text{Enc}(x) \times \text{Enc}(y) = \text{Enc}(x \times y)$.

Fully homomorphic encryption (FHE)

Fully homomorphic encryption allows to do arbitrary computations on encrypted data without decrypting it.

The RSA example

RSA addition: not homomorphic

Private		The cloud
m_1	$\xrightarrow{RSA(N,e)}$	$c_1 \equiv m_1^e \pmod{N}$
m_2	$\xrightarrow{RSA(N,e)}$	$c_2 \equiv m_2^e \pmod{N}$
		$\downarrow \oplus$
$(m_1^e + m_2^e)^d \not\equiv m_1 + m_2$	$\xleftarrow{RSA(N,d)}$	$c_1 + c_2 \equiv m_1^e + m_2^e \pmod{N}$

RSA multiplication: homomorphic

Private		The cloud
m_1	$\xrightarrow{RSA(N,e)}$	$c_1 \equiv m_1^e \pmod{N}$
m_2	$\xrightarrow{RSA(N,e)}$	$c_2 \equiv m_2^e \pmod{N}$
		$\downarrow \otimes$
$((m_1 m_2)^e)^d \equiv m_1 m_2$	$\xleftarrow{RSA(N,d)}$	$c_1 c_2 \equiv (m_1 m_2)^e \pmod{N}$

The RSA example

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Partial homomorphic systems

RSA: multiplicatively homomorphic

Given $c_1 \equiv m_1^e \pmod{N}$, $c_2 \equiv m_2^e \pmod{N}$. Then

$$c_1 \times c_2 \equiv m_1^e \times m_2^e \equiv (m_1 \times m_2)^e \pmod{N}.$$

ElGamal: multiplicatively homomorphic

Given $c_1 = (g^{a_1}, g^{a_1 b_1} m_1) \pmod{p}$, $c_2 = (g^{a_2}, g^{a_2 b_2} m_2) \pmod{p}$. Then

$$c_1 \times c_2 = (g^{a_1+a_2}, g^{a_1 b_1 + a_2 b_2} m_1 m_2) \pmod{p}.$$

Paillier: additively homomorphic

Given $c_1 = g^{m_1} r_1^N \pmod{N^2}$, $c_2 = g^{m_2} r_2^N \pmod{N^2}$. Then

$$c_1 \times c_2 = g^{m_1+m_2} (r_1 r_2)^N \pmod{N^2}.$$

DGHV: Somewhat Homomorphic Encryption

DGHV: 2010

- Invented by van Dijk, Gentry, Halevi, and Vaikuntanathan.
- The first fully homomorphic encryption over the integers.
- Choose a secret large prime key p .
- Choose a large integer q .
- Choose a small integer $r < \frac{p}{2}$.
- Encrypt $m \in \{0, 1\}$ as $c = qp + 2r + m$.
- Decrypt c using $(c \bmod p) \bmod 2 = m$.

Homomorphic properties of DGHV

$$c_1 = q_1p + 2r_1 + m_1, \quad c_2 = q_2p + 2r_2 + m_2$$

Addition

$$c_1 + c_2 = (q_1 + q_2)p + 2(r_1 + r_2) + m_1 + m_2.$$

Hence $\text{Enc}(m_1 + m_2) = \text{Enc}(m_1) + \text{Enc}(m_2)$.

Multiplication

$$c_1 \times c_2 = (c_2q_1 + c_1q_2 - q_1q_2p)p + 2(2r_1r_2 + r_1m_2 + r_2m_1) + m_1 \times m_2.$$

Hence $\text{Enc}(m_1 \times m_2) = \text{Enc}(m_1) \times \text{Enc}(m_2)$.

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Learning With Errors

LWE

- Invented by O. Regev in 2005.
- Security based on the GapSVP problem.
- Provable Security.

Definition

The GapSVP problem: Let \mathcal{L} be a lattice with a basis B . Let $\lambda_1(\mathcal{L})$ be the length of the shortest nonzero vector of \mathcal{L} . Let $\gamma > 0$ and $r > 0$. Decide whether $\lambda_1(\mathcal{L}) < r$ or $\lambda_1(\mathcal{L}) > \gamma r$.

Learning With Errors

Example

- Easy: solve the system

$$\begin{bmatrix} 17 & 42 & -127 \\ 24 & 3 & 71 \\ -7 & -23 & 45 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} -3265 \\ 246 \\ 1202 \end{bmatrix}$$

- Harder: solve the system

$$\underbrace{\begin{bmatrix} 117 & 422 & -127 \\ 214 & 23 & 71 \\ -17 & -223 & 45 \end{bmatrix}}_A \underbrace{\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}}_S \underbrace{\begin{matrix} + \\ + \end{matrix}}_+ \underbrace{\begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix}}_E \underbrace{\begin{matrix} = \\ = \end{matrix}}_= \underbrace{\begin{bmatrix} -4718 \\ 4177 \\ 2485 \end{bmatrix}}_P$$

- $AS + E = P$: LWE equation over \mathbb{Z} .

Learning With Errors

Example

- Hard: solve the system

$$\begin{bmatrix} 17 & 42 & 127 \\ 24 & 3 & 71 \\ 7 & 23 & 45 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 116 \pmod{503} \\ 158 \pmod{503} \\ 271 \pmod{503} \end{bmatrix}$$

- Much harder: solve the system

$$\underbrace{\begin{bmatrix} 117 & 422 & 127 \\ 214 & 23 & 71 \\ 17 & 223 & 45 \end{bmatrix}}_A \underbrace{\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}}_S \underbrace{\begin{matrix} + \\ + \end{matrix}}_+ \underbrace{\begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix}}_E \underbrace{\begin{matrix} = \\ = \end{matrix}}_= \underbrace{\begin{bmatrix} 144 \pmod{503} \\ 229 \pmod{503} \\ 503 \pmod{503} \end{bmatrix}}_P$$

- $AS + E = P$: LWE equation over \mathbb{Z}_{503} .

Learning With Errors

LWE Key Generation

Algorithm 1 : LWE Key Generation

Require: Integers n, m, l, q .

Ensure: A private key S and a public key (A, P) .

- 1: Choose $S \in \mathbb{Z}_q^{n \times l}$ at random.
 - 2: Choose $A \in \mathbb{Z}_q^{m \times n}$ at random.
 - 3: Choose $E \in \mathbb{Z}_q^{m \times l}$ according to $\chi(E) = e^{-\pi \|E\|^2 / r^2}$ for some $r > 0$.
 - 4: Compute $P = AS + E \pmod{q}$. Hence $P \in \mathbb{Z}_q^{m \times l}$.
 - 5: The private key is S .
 - 6: The public key is (A, P) .
-

Learning With Errors

LWE: Encryption

Algorithm 2 : LWE Encryption

Require: Integers n, m, l, t, r, q , a public key (A, P) and a plaintext $M \in \mathbb{Z}_t^{l \times 1}$.

Ensure: A ciphertext (u, c) .

- 1: Choose $a \in [-r, r]^{m \times 1}$ at random.
 - 2: Compute $u = A^T a \pmod{q} \in \mathbb{Z}_q^{n \times 1}$.
 - 3: Compute $c = P^T a + \left\lceil \frac{Mq}{t} \right\rceil \pmod{q} \in \mathbb{Z}_q^{l \times 1}$.
 - 4: The ciphertext is (u, c) .
-

Learning With Errors

LWE: Decryption

Algorithm 3 : LWE Decryption

Require: Integers n, m, l, t, r, q , a private key S and a ciphertext (u, c) .

Ensure: A plaintext M .

- 1: Compute $v = c - S^T u$ and $M = \left\lfloor \frac{tv}{q} \right\rfloor$.
-

Learning With Errors

Correctness of decryption

We have

$$\begin{aligned}
 v &= c - S^T u \\
 &= (AS + E)^T a - S^T A^T a + \left[\frac{Mq}{t} \right] \\
 &= E^T a + \left[\frac{Mq}{t} \right].
 \end{aligned}$$

Hence

$$\left[\frac{tv}{q} \right] = \left[\frac{tE^T a}{q} + \frac{t}{q} \left[\frac{Mq}{t} \right] \right].$$

With suitable parameters, the term $\frac{tE^T a}{q}$ is negligible and $\frac{t}{q} \left[\frac{Mq}{t} \right] = M$.

Consequently $\left[\frac{tv}{q} \right] = M$.

LWE

Hard Problem

Equations

- The public equation $P = AS + E \pmod{q}$.
- The public ciphertext $c = P^T a + \left[\frac{Mq}{t} \right] \pmod{q}$.
- Can be reduced to the approximate-SVP and GapSVP.

 q -ary lattices

Let $A \in \mathbb{Z}_q^{n \times l}$ for some integers q, n, l .

- The q -ary lattice:

$$\Lambda_q(A) = \left\{ y \in \mathbb{Z}^l : y \equiv A^T s \pmod{q} \text{ for some } s \in \mathbb{Z}^n \right\}.$$

- The orthogonal q -ary lattice:

$$\Lambda_q^\perp(A) = \left\{ y \in \mathbb{Z}^l : Ay \equiv 0 \pmod{q} \right\}.$$

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NTRU

NTRU

- Invented by Hoffstein, Pipher et Silverman in 1996.
- Security based on the Shortest Vector Problem (SVP).
- Various versions between 1996 and 2001.

Definition

The Shortest Vector Problem (SVP): Given a basis matrix B for \mathcal{L} , compute a non-zero vector $v \in \mathcal{L}$ such that $\|v\|$ is minimal, that is $\|v\| = \lambda_1(\mathcal{L})$.

NTRU: Ring of Convolution $\Pi = \mathbb{Z}[X]/(X^N - 1)$

Polynomials

$$f = \sum_{i=0}^{N-1} f_i X^i, \quad g = \sum_{i=0}^{N-1} g_i X^i,$$

Sum

$$f + g = (f_0 + g_0, f_1 + g_1, \dots, f_{N-1} + g_{N-1}).$$

Product

$$f * g = h = (h_0, h_1, \dots, h_{N-1}) \text{ with}$$

$$h_k = \sum_{i+j \equiv k \pmod{N}} f_i g_j.$$

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NTRU: Ring of Convolution $\Pi = \mathbb{Z}[X]/(X^N - 1)$

Convolution

$$f = (f_0, f_1, \dots, f_{N-1}), \quad g = (g_0, g_1, \dots, g_{N-1}).$$

$$f * g = h = (h_0, h_1, \dots, h_{N-1})$$

	1	X	\dots	X^k	\dots	X^{N-1}
	$f_0 g_0$	$f_0 g_1$	\dots	$f_0 g_k$	\dots	$f_0 g_{N-1}$
+	$f_1 g_{N-1}$	$f_1 g_0$	\dots	$f_1 g_{k-1}$	\dots	$f_1 g_{N-2}$
+	$f_2 g_{N-2}$	$f_2 g_{N-1}$	\dots	$f_2 g_{k-2}$	\dots	$f_2 g_{N-3}$
\vdots	\vdots	\vdots	\dots	\dots	\vdots	\vdots
+	$f_{N-2} g_2$	$f_{N-2} g_3$	\dots	$f_{N-2} g_{k+2}$	\dots	$f_{N-2} g_1$
+	$f_{N-1} g_1$	$f_{N-1} g_2$	\dots	$f_{N-1} g_{k+1}$	\dots	$f_{N-1} g_0$
$h =$	h_0	h_1	\dots	h_k	\dots	h_{N-1}

NTRU Parameters

- N = a prime number (e.g. $N = 167, 251, 347, 503$).
- q = a large modulus (e.g. $q = 128, 256$).
- p = a small modulus (e.g. $p = 3$).

NTRU Algorithms

Key Generation:

- Randomly choose two **private** polynomials f and g .
- Compute the inverse of f modulo q : $f * f_q = 1 \pmod{q}$.
- Compute the inverse of f modulo p : $f * f_p = 1 \pmod{p}$.
- Compute the public key $h = f_q * g \pmod{q}$.

NTRU Algorithms

Encryption:

- m is a plaintext in the form of a polynomial mod q .
- Randomly choose a **private** polynomial r .
- Compute the encrypted message $e = m + pr * h \pmod{q}$.

Decryption:

- Compute $a = f * e = f * (m + pr * h) = f * m + pr * g \pmod{q}$.
- Compute $a * f_p = (f * m + pr * g) * f_p = m \pmod{p}$.

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NTRU

Correctness of decryption

We have

$$a \equiv f * e \pmod{q}$$

$$a \equiv f * (p * r * h + m) \pmod{q}$$

$$a \equiv f * r * (p * g * f_q) + f * m \pmod{q}$$

$$a \equiv p * r * g * f * f_q + f * m \pmod{q}$$

$$a \equiv p * r * g + f * m \pmod{q}.$$

If $p * r * g + f * m \in \left[-\frac{q}{2}, \frac{q}{2}\right]$, then

$$m \equiv a * f_p \pmod{p}.$$

MAPLE p. 24

NTRU

Example

Key generation

- Public parameters $N = 13$, $p = 3$, $q = 8$.
- Private keys $f = X^{12} + X^{11} + X^{10} + X^9 + X^8 + X^7 + 1$,
 $g = X^{12} + X^5 - X^4 + X^3 - X^2 + X - 1$.
- $f * f_p \equiv 1 \pmod{p}$ with $f_p =$
 $2X^{12} + 2X^{11} + 2X^{10} + 2X^9 + 2X^8 + 2X^7 + 2X^5 + 2X^4 + 2X^3 + 2X^2 + 2X$.
- $f * f_q \equiv 1 \pmod{q}$ with $f_q =$
 $X^{12} + X^{11} + X^{10} + X^9 + X^8 + X^7 + 2X^6 + X^5 + X^4 + X^3 + X^2 + X + 2$.
- The public key is $h \equiv g * f_q$
 $\pmod{q} = 2X^{12} + 2X^{11} + 2X^9 + 2X^7 + 3X^5 + 2X^3 + 2X$.

NTRU

Example

Encryption

- Message $m = X^{10} + X^8 + X^7 + X^4 + X^3 + 1$.
- Random error $r = X^{12} + X^{11} + X^8 + X^7 + 1$.
- The ciphertext $e \equiv p * r * h + m \pmod{q} \equiv 5X^{12} + 2X^{11} + 3X^{10} + 2X^9 + 5X^8 + 3X^7 + 2X^6 + 5X^5 + 6X^4 + 4X^3 + 2X$.

NTRU

Example

Decryption



$$\begin{aligned}
 a &\equiv f * e \pmod{q} \\
 &\equiv 6X^{12} + 3X^{11} + 6X^{10} + 2X^9 + 3X^8 + 4X^7 \\
 &\quad + 6X^6 + 6X^5 + 4X^4 + 7X^3 + X^2 + 6X + 3.
 \end{aligned}$$



$$\begin{aligned}
 m &\equiv f_p * a \pmod{p} \\
 &\equiv X^{10} + X^8 + X^7 + X^4 + X^3 + 1,
 \end{aligned}$$

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Introduction to lattices

Definition

Let n and d be two positive integers. Let $b_1 \cdots, b_d \in \mathbb{R}^n$ be d linearly independent vectors. The lattice \mathcal{L} generated by $(b_1 \cdots, b_d)$ is the set

$$\mathcal{L} = \sum_{i=1}^d \mathbb{Z}b_i = \left\{ \sum_{i=1}^d x_i b_i \mid x_i \in \mathbb{Z} \right\}.$$

The vectors $b_1 \cdots, b_d$ are called a vector basis of \mathcal{L} . The lattice rank is n and the lattice dimension is d . If $n = d$ then \mathcal{L} is called a full rank lattice.

Introduction to lattices

Example: Lattice with dimension 2

$$b_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad b_2 = \begin{bmatrix} 0.5 \\ 1 \end{bmatrix}, \quad \mathcal{L} = \{v, v = x_1 b_1 + x_2 b_2, (x_1, x_2) \in \mathbb{Z}^2\}.$$

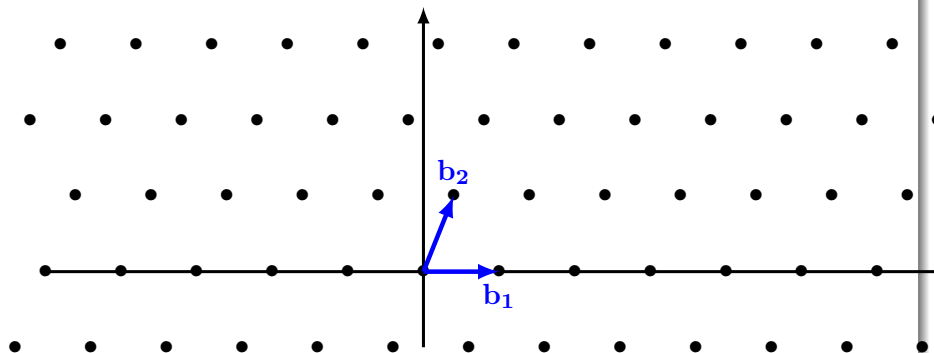


Figure: The lattice with the basis (b_1, b_2)

Introduction to lattices



Introduction to lattices

How to find v ?

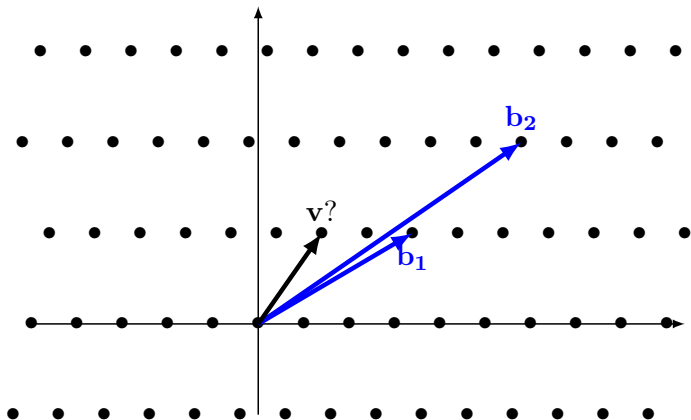


Figure: A lattice with a *bad* basis (b_1, b_2)

Introduction to lattices

How to find v ?

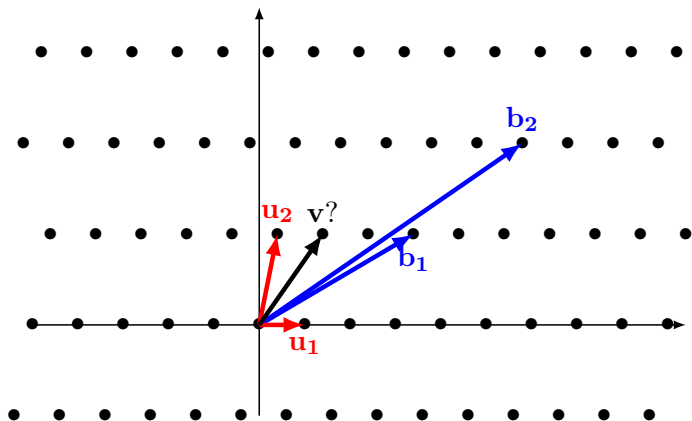


Figure: The same lattice with a *good* basis (u_1, u_2)

Short vectors

Definition (The Shortest Vector Problem (SVP))

Given a basis matrix B for \mathcal{L} , compute a non-zero vector $v \in \mathcal{L}$ such that $\|v\|$ is minimal, that is $\|v\| = \lambda_1(\mathcal{L})$.

Short vectors

The shortest vector

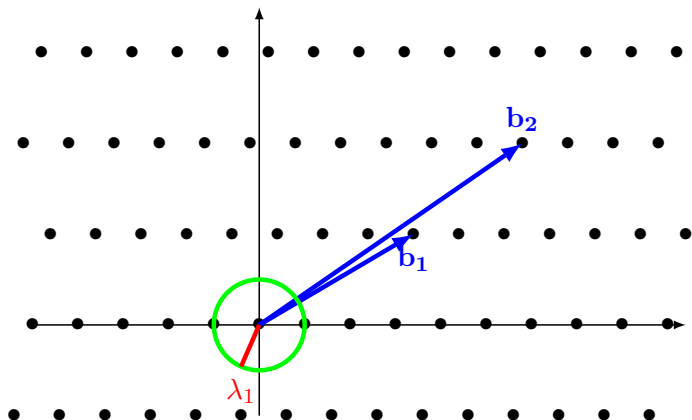


Figure: The shortest vectors

Closest Vectors

Definition (The Closest Vector Problem (CVP))

Given a basis matrix B for \mathcal{L} and a vector $v \notin \mathcal{L}$, compute a vector $v_0 \in \mathcal{L}$ such that $\|v - v_0\|$ is minimal.

Closest Vectors

The closest vector

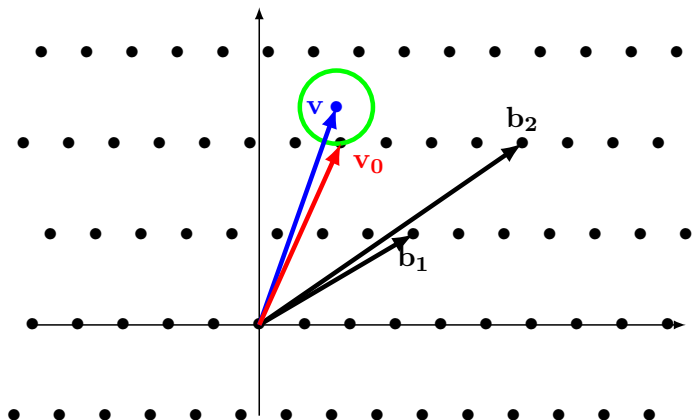


Figure: The closest vector to v is v_0

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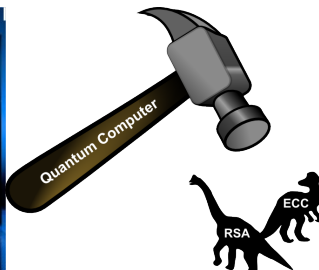
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Conclusion

Lattice based cryptography

- Can be used to build cryptographic schemes (GGH, NTRU, LWE,...).
- Can be used to build fully homomorphic encryption, Digital signatures, identity based encryption IBE, hash functions.
- Many hard problems (SVP, CVP,).
- Fast implementation.
- Resistance to quantum computers and NSA.



Merci

Thank you

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